

Governing Authority Training: Roles and Responsibilities



Introduction

The sponsorship contract separates and defines the roles and responsibilities of the sponsor and the school. We encourage all governing authority members to review the contract, prior to the issuance of this report. In addition, a sponsor representative will present the report and review those responsibilities twice annually: both prior to the school year after the spring site visit and after school has ended after the fall site visit, as an annual performance review. An agenda for the discussion includes the report summary and any follow up action required along with a detailed discussion of Articles III and IV of the contract.

Roles and Responsibilities

Responsibilities of the Governing Authority in Article III

- Compliance with provision in 3314;
- notification procedures;
- maintaining insurance and indemnification;
- disclosures and conflicts;
- federal requirements including NCLB, IDEA and FERPA; and
- data reporting.

Roles and Responsibilities

Responsibilities of the Sponsor in Article IV

- Compliance with provisions in 3314;
- issuance of reports;
- monitoring, compliance and technical assistance;
- communication;
- evaluation of standards in Exhibit IV (performance and accountability); and
- conflicts of interest and selling of services prohibition.

Site Visit Reports

- Each report examines implementation of the education, financial, operational and governance plans. Additionally, the report sets forth the academic performance of the school against the terms of the school's sponsorship contract. Information contained in this report will inform, and may be published in, the Thomas B. Fordham Foundation's annual report (released each November).
- Information from site visit reports may also inform decisions related to contract renewal or non-renewal.
- The site visit reports include reviews on performance.

Renewal Decisions

To be considered for contract renewal, the Governing Authority is expected to have “achieved” the standard, which is the Sponsor's minimum expectation for the School, in all primary academic, financial and operations/governance indicators. Secondary indicators will be considered as well, but primary indicators will factor more heavily into decisions about renewal or nonrenewal. An inability to achieve minor elements of the standards may not prevent consideration of contract renewal, based on the totality of the circumstances, which will be subject to the Sponsor's sole and complete discretion.

School Performance

- School performance against the accountability plan will be published in the Fordham Foundation's annual report. The annual report – a statutory requirement - is issued by November 30, and is sent to the Ohio Department of Education (ODE), policy makers, parents/families are individually notified of the report, and provided a link from which they may download the report.
- School performance for the most recent year is detailed in the Fall Site Visit Report.
- School performance against the term of the contract is detailed in the Spring Site Visit Report.

Review on Performance

- Performance review includes:
 - Academic Performance
 - Fiscal Performance
 - Legal Compliance
 - Organization and Operation
- Performance rubric
 - Exceeded expected performance (E): The school met all contractual academic, fiscal or organization and operation indicators.
 - Met expected performance (M): The school met a majority of contractual academic indicators.
 - Did not meet expected performance (D): The school met fewer than half of contractual academic indicators.

Legal Review

- Most recent changes to statute impacting community schools, with the effective date of legislation
- New rules and guidance that take place during the current school year
- Key highlights of bills
- Links to resources and additional information

Executive Summary

- As of the date of the issuance of this report, how the school is implementing the education plan as set forth in its contract with the Thomas B. Fordham Foundation.
- Exhibit I of the contract, which includes:
 - Student enrollment and records review
 - Climate and discipline
 - Classroom observations
 - Assessment and interventions

General Observations

- Environmental observations including facilities and general student areas and meetings with administration and school leadership.
- Stakeholder reports from interviews. At least 2 stakeholders, in addition to school administrators, are interviewed. This may include: teachers, students, parents, community organizations or governing authority.
- Strengths, Weaknesses and Follow-up Action required as a result of monitoring, performance or site visit observations are noted along with any corrective action plans for performance or compliance.

Financial Summary and Reports

Monthly Financial Reviews (Sent to the Governing Authority and the Treasurer)

- The sponsor monitors several items and shares a monthly report with the governing board from monthly meetings with the school's governing board and treasurer. The FY cumulative summary from these reports is within each site visit report.
- Items monitored in the reviews include:
 - Enrollment
 - Revenue
 - Expense
 - Cash Flow

Financial Management and Audits

The sponsor is involved in the oversight of the school's mandatory annual financial audit conducted by the Auditor of State (AOS) or a third-party IPA auditing firm. In addition to citing audit findings, Sponsor collects certain data from these audit reports and compiles them for school-specific risk assessment and for trend analysis that is crucial for appropriate sponsor oversight.

This includes:

- Statement of net position as of most recent audit
- Statement of revenues & expenses for the FY
- Past enrollment levels are also reviewed
- Other Analysis like per pupil funding and per pupil expenditures and debt asset ratio

An audit summary over the term of the contract is provided with the spring report

Questions?

Please reach out to our sponsorship team with any questions or concerns.

Theda Sampson – Contract and Renewal, Evaluation and Monitoring
tsampson@edexcellence.net

Miles Caunin – Financial, Audit and Facilities mcaunin@edexcellence.net

Gwen Muhammad – Data and Site Visits gmuhammad@edexcellence.net

Marwa Berri – Compliance and Technical Assistance
mberri@edexcellence.net

937-227-3368

15 West Fourth Street, Suite 430

Dayton, Ohio 45402